

UNITED STATES D RTMENT OF COMMERCE Patent and Tradema Iffice Address: ASSISTANT COMMISSIONER FOR PATENTS Box PCT Washington, D.C. 20231

Defended May 1 (1997) BEACOCK MYERS AND ADAMS P C DEFENDENCE DEF	U.S. APPLICATION NO.		FIRST N	AMED APPLICANT	ATTY. DOCKET NO.	
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A copy of this notice MUST be returned with this response. Enclosed: PCT/DO/EO/917 PTO-875 Notice of Defective Translation Esther Dove, Paralegal	Applicant is reminded that any c	communication to the	he United States Pa	ent and Trademark O	office must be mailed to the	
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Telephone: 703-305-5460		· Nonce (or reconnections:	Est	her Dove, Paralegal	
FORM PC 1/DO/EO/303 (December 1777)	FORM PCT/DO/EO/905 (Dece	mber 1997)		Telepho	ne: 703-305-5460	



UNITED STATES D RTMENT OF COMMERCE Patent and Tradema: Office Address: ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST N	IAMED APPLICANT	ATTY, DOCKET NO.	
09/674547	KAWAI	M 30681 1005 INTERNATIONAL APPLICATION NO.		
PEACOCK MYERS AND ADAMS P C P O BOX 26927 ALBUQUERQUE, NM 87125 6927		PCT/JP98/02024 I.A. FILING DATE PRIORITY DA		
	٠.	06 MAY 98 0 5 DEC 2009,		

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it.
 Is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68. does not identify the specification to which it is directed. does not identify the inventor(s). does not identify the citizenship of each inventor. does not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.
FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.
Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:
1. does not identify the city and state or city and foreign country of residence or each inventor.
2. does not state that the person making the oath or declaration:
a. has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
 b. acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4. does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).
Esther Dove, Paralegal Telephone: 703-305-5460
Telephone. 703-3460

FORM PCT/DO/EO/917 (September 1996)



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS BOX PCT Washington, D.C. 20231

NOTIFICATION OF A DEFECTIVE RESPONSE The request for an extension of time (37 CFR 1.136(a)) filed
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Applicant's response filed was received in the Office on, which is after the piration of the period for response set in the last Office notification mailed, which is application ill become abandoned unless applicant obtains an extension of time to reply to the last Office notification under 37 CFR 136(a). Applicant's response filed, included the following items, the receipt of which is hereby knowledged:, copy of the international application in: a non-English language, English, Translation of the international application into English which is defective for the reasons indicated on the attached Notice of Defective Translation, Processing fee (37 CFR 1.492(f)), which is insufficient, Oath or Declaration of inventors(s), in compliance with 37 CFR 1.497(a) and (b), not in compliance with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917, Surcharge (37 CFR 1.492(e)), which is insufficient, Copy of Article 19 amendments, Translation of Article 19 amendments into English, Translation of Annexes to the International Preliminary Examination Report into English.
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The International Preliminary Examination Report in English and its Annexes, if any. Translation of Annexes to the International Preliminary Examination Report into English.
Translation of Annexes to the International Preliminary Examination Report into English.
Preliminary amendment(s).
Information Disclosure Statement(s).
Assignment document.
Power of Attorney and/or Change of Address.
Substitute specification.
: Claiming Small Entity Status. claimed
Priority Document. Dither: Request for refund Edenied Not linely
All of the requirements set forth in the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905 mailed have not been completed. * Notice of the Usan Sation of Internation
oplicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or thin the time remaining in the response set forth in the Notification of Missing Requirements (Form DO/EO/905), nichever is the longer. No extension of this time limit may be granted under 37 C.F.R. § 1.136, but the period for response in the Notification of Missing Requirements may be extended up to a maximum of five months.
oplicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the dress given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)
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aclosed: PCT/DO/EO/917 Notice of Defective Translation
Patricia Booker, Paralegal
Telephone: 703-305-3738
DRM PCT/DO/EO/916 (December 1997)

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS BOX PCT Washington D.C. 2022 M. 30.681 10

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NOTIFIC	ATION OF A DE	FECTIVE R	RESPONSE .		
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1. The request for an extension of time (3 missing/insufficient. Extension of time fees	7 CFR 1:136(a)) file	d	is defectiv	e because th	ne required fee is
	are listed at 37 CFR	1.1/(a)(1)-(a)(5).		
2. Applicant's response filed	was received in	the Office on	,		
expiration of the period for response set in the	e last Office notificat	ion mailed		, which	TTM 1
will become abandoned unless applicant obta	ins an extension of t	ime to reply to	the last Office no	otification u	nder 37 CFR
1.130(a).					
3. Applicant's response filed 03 Jan	200 included the fo	.110	the receipt of wh		
acknowledged:	micraded the re	nowing items,	the receipt of wh	iich is hereb	'y
Copy of the international applica	ition in:				
a non-English language.					
English.					
Translation of the international a	pplication into Engl	ish which i	is defective for th	e reasons in	digated on the
attached Notice of Defective Transla	uon.		is defective for th	c reasons in	dicated on the
Processing fee (37 CFR 1.492(f)) which is insuff	icient.			
Oath or Declaration of inventors		•			
in compliance with 37 CFR 1.49					
not in compliance with 37 CFR	.497(a) and (b) for t	he reasons ind	icated on the atta	ched PCT/D	O/EO/917.
☐ Surcharge (37 CFR 1.492(e)) ☐	which is insufficien	ıt.			
Copy of Article 19 amendments.					
Translation of Article 19 amendr					
The International Preliminary Ex	amination Report in	English and its	s Annexes, if any		
Translation of Annexes to the Int	ernational Prelimina	ry Examination	n Report into Eng	glish.	
Preliminary amendment(s).				•	
Information Disclosure Statemen	t(s).				
Assignment document.					
Power of Attorney and/or Change	of Address.			•	
Substitute specification.					
Verified Statement Claiming Sma	Ill Entity Status.				•
Priority Document.	4	00	0. 1.	+1	
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Applicant is required to complete the response	within a time limit o	f ONE MONT	H from the date	of this Notif	fication or
mann the thire remaining in the response set to	orun in the Notiticatu	on of Miccina	Peguiromento (E.	DO/EO.	/0.0 C)
whichever is the longer. No extension of this t set in the Notification of Missing Requirement	ime limit may be ora	nted under 37	CED 8 1 124 L		d for response
Applicant is reminded that any communication	to the United States	Patent and Tra	ademark Office m	ust be maile	ed to the
address given in the heading and include the U	o. application no. sh	own above. (3	/ CFR 1.5)		
Enclosed: PCT/DO/EO/917 No.	otice of Defective Tr	omolotic-			$I \cap$
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